

AMENDMENT TRANSMITTAL

CASE DOCKET NO. 5107-3-CIP

IN RE THE APPLICATION OF: DONNELLY et al.

SERIAL NO: 10/649,286

DATE FILED: August 26, 2003

FOR: "METHOD FOR MONITORING AND CONTROLLING TRACTION MOTORS IN LOCOMOTIVES"

COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

SIR:

TRANSMITTED HERewith IS AN AMENDMENT IN THE ABOVE-IDENTIFIED APPLICATION

- ☐ SMALL ENTITY STATUS OF THIS APPLICATION UNDER 37 CFR 1.9 AND 1.27 HAS BEEN ESTABLISHED BY A VERIFIED STATEMENT PREVIOUSLY SUBMITTED.
- ☐ A VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS UNDER 37 CFR 1.9 AND 1.27 IS ENCLOSED.
- ☐ NO ADDITIONAL FEE IS REQUIRED.

THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

	(COL. 1) CLAIMS REMAINING AFTER AMENDMENT	(COL. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(COL. 3) PRESENT EXTRA	SMALL ENTITY			LARGE ENTITY	
				RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
TOTAL:	* 37	- ** 20	17	X \$9 =	\$153.00	OR	X \$18 =	
INDEP:	4	- *** 3	1	X \$42 =	\$42.00	OR	X \$84 =	\$80.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS				+ \$140 =		OR	+ \$280 =	
ADDITIONAL FEES				TOTAL:	\$195.00	OR	TOTAL:	

*IF THE ENTRY IN COL. 1 IS LESS THAN THE ENTRY IN COL. 2, WRITE "0" IN COL. 3.

**IF THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" IN THIS SPACE IS LESS THAN 20, WRITE "20" IN THIS SPACE.

***IF THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" IN THIS SPACE IS LESS THAN 3, WRITE "3" IN THIS SPACE.

THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" (TOTAL OR INDEPENDENT) IS THE HIGHEST NUMBER FOUND FROM THE EQUIVALENT BOX IN COL. 1 OF A PRIOR AMENDMENT OR THE NUMBER OF CLAIMS ORIGINALLY FILED.

- ☒ PLEASE CHARGE DEPOSIT ACCOUNT NO. 19-1970 IN THE AMOUNT OF \$ 195. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.
- ☐ A CHECK IN THE AMOUNT OF \$ TO COVER THE FEE IS ENCLOSED.
- ☒ THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE PAYMENT OF THE FOLLOWING FEES ASSOCIATED WITH THIS COMMUNICATION OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 19-1970. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.
- ☒ ANY FILING FEES UNDER 37 CFR 1.16 FOR THE PRESENTATION OF EXTRA CLAIMS.
- ☒ ANY PATENT APPLICATION PROCESSING FEES UNDER 37 CFR 1.17.

RESPECTFULLY SUBMITTED,

SHERIDAN ROSS P.C.

Douglas W. Swartz
 Douglas W. Swartz
 Registration No. 37,739

"EXPRESS MAIL" MAILING LABEL NUMBER: EV368037259US
 DATE OF DEPOSIT: 5/10/04

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED OR PRINTED NAME: Amy S. DuarteSIGNATURE: *Amy S. Duarte*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re the Application of:

DONNELLY et al.

Serial No.: 10/649,286

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Atty. File No.: 5107-3-CIP

For: "METHOD FOR MONITORING AND
CONTROLLING TRACTION
MOTORS IN LOCOMOTIVES"

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

Prior to the initial review of the above-identified patent application by the Examiner, please enter the following Preliminary Amendment. Please charge Deposit Account 19-1970 in the amount of \$195.00 as the fee with newly added claims 21-37. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.

Please amend the above-identified patent application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 11 of this paper.

Group Art Unit: 2837

Examiner:

FIRST PRELIMINARY AMENDMENT

"EXPRESS MAIL" MAILING LABEL NUMBER: EV368037259US
DATE OF DEPOSIT: 5/10/04

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TYPED OR PRINTED NAME: Amy S. Dyarte

SIGNATURE: 